PTO/SB/08A (10-01)
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Subs	Substitute for form 1449A/PTO			Complete if Known		
300.	34.0.0 101 101111 1-7-07-01	•		Application Number	NOT YET ASSIGNED	
IN	<b>IFORMATION</b>	N DIS	SCLOSURE	Filing Date	September 18, 2003	
S	TATEMENT	BY A	PPLICANT	First Named Inventor	David L. Evans	
	.,			Art Unit	N/A	
	(use as many sh	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	HO-P02228US1	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code² (if knawn)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
AN	AA	US-4,932,969	06-12-1990	Frey et al.			
-11	AB	US-5,037,438	08-06-1991	Davidson			
T	AC	US-5,152,794	10-06-1992	Davidson			
7	AD	US-5,180,394	01-19-1993	Davidson			
T = T	AE	US-5,370,694	12-06-1994	Davidson			
1/	AF	US-5,401,269	03-28-1995	Bittner-Janz et al.			
	AG	US-5,514,180	05-07-1996	Heggeness et al.			
Ac	AH	US-6,562,072	05-13-2003	Fuss et al.			

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Evenines	Cito	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Cite Initials* No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>8</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵	
Ar	BA	WO-98/01085	01-15-1998	The Orthopaedic Hospital et		
100				al.	<u> </u>	↓
Al	BB	WO-98/42390	10-01-1998	Smith & Nephew, Inc.		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
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AL	CA	LEWIS, GLADIUS, "Properties of Crosslinked Ultra-High Molecular Weight Polyethylene," Biomaterials, Elsevier Science Publishers BV., Barking, GB, Vol. 22, no. 4, February 15, 2001, pp. 371-401.	
/osc	СВ	Swarm Orthopedic, Patient/Clinician Information: SB Charite Intervertebral Dynamic Disc Spacer, www.linkspinegroup.com/us/disctext.htm	

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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Sut	ostitute for form 1449A/PT	0		Complete if Known		
				Application Number	10/666,477	
11	NFORMATIO	N DIS	CLOSURE	Filing Date	September 18, 2003	
l s	STATEMENT	BY A	PPLICANT	First Named Inventor	David L. Evans	
				Art Unit	3731	
	(use as many s	heets as n	ecessary)	Examiner Name	R. A. Lewis	
Sheet	1	of	2	Attorney Docket Number	HO-P02228US1	

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			U.S. PA	TENT DOCUMENTS		
	2	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant	
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M	AA	US-4,932,969	06-12-1990	Frey et al.		
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	AF	US-5,401,269	03-28-1995	Bittner-Janz et al.		
	AG	US-5,514,180	05-07-1996	Heggeness et al.		
	AH	US-6,562,072	05-13-2003	Fuss et al.		
	Al	US-5414049	05-09-1995	Sun et al		
	AJ	US-5879400	03-09-1999	Merrill et al		
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-T	AN	US-6228900	05-08-2001	Shen et al		
Μ.	AO	US-6281264	08-28-2001	Salovey et al		
$\Box V$	AP	US-6316158	11-13-2001	Saum et al		
ALI	AQ	US-6447550	09-10-2002	Hunter et al		

			FOREI	GN PATENT	DOCUMENTS		
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An	BA		WO-98/01085	01-15-1998	The Orthopaedic Hospital et al.	, iguaco, epica	
	BB	3	WO-98/42390	10-01-1998	Smith & Nephew, Inc.		
	ВС	?	EP-0722973	12-17-2003	The University of Southern California		
$\Box T$	BD	2	WO-9814223	04-09-1998	E.I. Du Pont De Nemours and Company		
	BE		EP-EP0737481	10-16-1996	Johnson & Johnson Professional		
$\Box Z$	BF		WO-9816258	04-23-1998	The Orthopaedic Hospital		
Ac	BG	3	EP-0727981	05-06-1999	The Gillette Company		

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Acc	CA	LEWIS, GLADIUS, "Properties of Crosslinked Ultra-High Molecular Weight Polyethylene," Biomaterials, Elsevier Science Publishers BV., Barking, GB, Vol. 22, no. 4, February 15, 2001,	

Examiner Signature Child Monus	Date Considered	10/14/05	
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				Application Number	10/666,477
١N	<b>NFORMATION</b>	N DI	SCLOSURE	Filing Date	September 18, 2003
S	TATEMENT I	BY A	APPLICANT	First Named Inventor	David L. Evans
				Art Unit	3731
	(use as many sh	eels as	necessary)	Examiner Name	R. A. Lewis
Sheet	2	of	2	Attorney Docket Number	HO-P02228US1

		pp. 371-401.	
Λα	СВ	Swarm Orthopedic, Patient/Clinician Information: SB Charite Intervertebral Dynamic Disc	
He		Spacer, www.linkspinegroup.com/us/disctext.htm	

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TRANSCITUTE for form 1449A/PTO				Complete if Known		
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INFORMATION DISCLOSURE				Filing Date	September 18, 2003	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	David L. Evans	
				Art Unit	3731	
(use as many sheets as necessary)				Examiner Name	R. A. Lewis	
Sheet	· 1	of	1	Attorney Docket Number	HO-P02228US1	

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Initials*	No.1	Number-Kind Code <sup>2</sup> (# known)	144.5510001	of Cited Document	Passages or Relevant Figures Appear
14	AA	US-2003078667	04-24-2003	Hamilton et al.	

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1867	BA	WO-03008008	01-30-2003	Smith & Nephew		П

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